

Alcohol craving and the dimensionality of alcohol disorders

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Background. ICD-10 includes a craving criterion for alcohol dependence while DSM-IV does not. Little is known about whether craving fits with or improves the DSM-IV criteria set for alcohol-use disorders.

Method. Data were derived from current drinkers ($n=18\,352$) in the 1991–1992 National Longitudinal Alcohol Epidemiologic Survey (NLAES), a nationally representative survey of US adults > 17 years of age. The Alcohol Use Disorder and Associated Disabilities Interview Schedule was used to assess the eleven DSM-IV dependence and abuse criteria, and alcohol craving. Exploratory factor, item response theory, and regression analyses were used to evaluate the psychometric properties and concurrent validity of DSM-based alcohol disorder criteria with the addition of alcohol craving.

Results. The past 12-month prevalence of craving was 1.3%. Craving formed part of a unidimensional latent variable that included existing DSM-IV criteria. Craving demonstrated high severity on the alcohol-use disorder continuum, resulting in an improved dimensional model with greater discriminatory ability compared with current DSM-IV criteria. Correlates of the diagnosis did not change with the addition of craving, and past 12-month craving was associated with prior alcohol dependence, depression, and earlier age of alcohol disorder onset among those with current DSM-IV alcohol dependence.

Conclusions. The addition of craving to the existing DSM-IV criteria yields a continuous measure that better differentiates individuals with and without alcohol problems along the alcohol-use disorder continuum. Few individuals are newly diagnosed with alcohol dependence given the addition of craving, indicating construct validity but redundancy with existing criteria.

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Introduction

A critical appraisal of the current criteria for a diagnosis of substance abuse and dependence is underway in preparation for the publication of a fifth revision of the Diagnostic and Statistical Manual of Mental Disorders (DSM-V). Some proposed changes include combining abuse and dependence criteria into a single diagnosis, for which considerable evidence exists, as these criteria form a single latent variable with criteria arrayed across the severity spectrum (Saha *et al.* 2006; Martin *et al.* 2008). Other issues under consideration

include adding a criterion indicating quantity/frequency (Li *et al.* 2007b; Saha *et al.* 2007; Keyes *et al.* 2009) and creating a dimensional, scalable form of diagnosis that would include diagnostic criteria and correlates of substance use (Helzer *et al.* 2007; Li *et al.* 2007a). Another area under discussion is whether the diagnosis would benefit from the addition of a new criterion indicating craving for alcohol and/or other illicit drugs.

Support for the validity of craving as a component of addiction comes from many lines of evidence, including behavioral research (O'Brien *et al.* 1998; Miller & Goldsmith, 2001; Weiss, 2005; Heinz *et al.* 2009), imaging (Bencherif *et al.* 2004; Weiss, 2005; Kalivas & O'Brien, 2008; Oslin *et al.* 2009), pharmacology (O'Brien, 2005) and genetic epidemiology (Foroud *et al.* 2007). In fact, some have suggested that reduction

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of craving is central to the treatment of addiction (O'Brien *et al.* 2005). If so, then craving might be useful to add to the alcohol and drug disorder criteria in DSM-V, an option that is currently under consideration by the DSM-V Substance Disorders Workgroup. Further, craving is one of the substance dependence criteria in the International Classification of Diseases, tenth edition (ICD-10) of the World Health Organization (WHO, 1993); thus inclusion of craving in DSM-V would increase the comparability of these two diagnostic systems. However, in considering the addition of a new criterion to the DSM-V, several issues must simultaneously be balanced. A new criterion should be an observable indicator of the underlying latent construct of the disorder, and its addition should improve the diagnosis, in terms of reliability, validity, and/or case finding. Further, changes to the epidemiology of alcohol disorders based on the new addition (e.g. prevalence, correlates) need to be identified and their implications considered carefully in weighing the pros and cons of a new criterion.

Empirical assessment of the advisability of adding a new criterion can proceed using multiple analytic strategies. First, craving should be subjected to latent variable analysis [factor and item response theory (IRT) analysis] with the existing criteria. Previous studies of the alcohol abuse and dependence criteria indicate that they are arrayed across a single underlying continuum of severity (Krueger *et al.* 2004; Langenbucher *et al.* 2004; Kahler & Strong, 2006; Martin *et al.* 2006; Proudfoot *et al.* 2006; Saha *et al.* 2006; Gelhorn *et al.* 2008; Harford *et al.* 2009; Wu *et al.* 2009). Given such a structure, craving should form part of this single underlying latent variable to be a viable candidate for addition to DSM-V. Further, craving would demonstrate diagnostic improvement if the ability of the diagnosis as a whole to discriminate those with and without an alcohol disorder is improved with the addition of a craving criterion.

If craving demonstrates utility in latent variable analyses, then demonstrating concurrent validity is an additional step towards demonstrating benefit from adding craving to the alcohol disorder criteria. One way to demonstrate concurrent validity is to examine the correlates of craving among those with a Diagnostic and Statistical Manual of Mental Disorders, fourth version (DSM-IV) alcohol disorder. If craving validly represents the construct of interest (having an alcohol disorder), it should be correlated with known risk factors for alcohol disorder. Another way to demonstrate concurrent validity is to construct alternative versions of the diagnosis, with and without craving, and test the associations of these two versions with known risk factors. If craving is a valid indicator of an alcohol disorder, known risk factors should

remain associated with the diagnosis once craving is included; further, craving should demonstrate improvement by strengthening the associations.

The present analysis evaluates the empirical evidence for the addition of craving using these analytic methods. We had four aims: (1) To conduct a factor analysis of the existing DSM-IV criteria with and without craving; (2) to conduct IRT analyses to examine the severity and discrimination of alcohol abuse/dependence criteria and a craving criterion and evaluate the changes to the total discriminatory ability and severity of the set of total set criteria regarding the latent trait of alcohol problems once craving is included as an additional criterion; (3) to evaluate the concurrent validity of craving by assessing the clinical characteristics of DSM-IV alcohol-dependent individuals with and without alcohol craving; (4) to evaluate the concurrent validity of craving by constructing alternative versions of alcohol-use disorder diagnoses with and without craving and assess the associations between these alternatives and known risk factors for alcohol-use disorders.

Method

Sample

The 1991–1992 National Longitudinal Alcohol Epidemiologic Survey (NLAES) is a nationally representative survey of non-institutionalized adults (18+ years old) in the United States. The survey was sponsored by the National Institute on Alcohol Abuse and Alcoholism, with fieldwork conducted by the US Census Bureau. Face-to-face interviews by experienced lay interviewers were completed on 42 862 individuals. The NLAES featured a complex multistage design, described in detail elsewhere (Grant, 1997; Grant *et al.* 2004). NLAES design elements are similar to the National Epidemiologic Survey on Alcohol and Related Conditions (NESARC) (Grant *et al.* 2003*b*). While recent psychometric studies have used the NESARC (conducted in 2001–2002) to evaluate diagnostic properties of various DSM algorithms (Kahler & Strong, 2006; Saha *et al.* 2006, 2007), this dataset did not include a craving measure and thus could not be used for the present purpose. Analyses were restricted to NLAES respondents who drank at least 12 drinks in the past year ($n = 18\,352$), the NLAES definition of current drinkers.

Measures

DSM-IV alcohol diagnoses

DSM-IV alcohol abuse/dependence criteria experienced in the last 12 months were generated from 35

symptom questions in the Alcohol Use Disorder and Associated Disabilities Interview Schedule (AUDADIS). Although the DSM-IV classification was not published until 1994, all the specific diagnostic criteria for DSM-IV alcohol abuse and dependence were known prior to the fieldwork for NLAES and were incorporated into the AUDADIS. The reliability of AUDADIS diagnosis has been shown to be good to excellent (Grant *et al.* 1995, 2003a; Chatterji *et al.* 1997; Hasin *et al.* 1997a, 2006; Canino *et al.* 1999) and validity has been extensively documented (Cottler *et al.* 1997; Hasin *et al.* 1997b, c, 1999; Pull *et al.* 1997; Ustun *et al.* 1997; Vrsti *et al.* 1998; Canino *et al.* 1999; Hasin & Paykin, 1999; Hasin, 2003).

The abuse criteria included: (1) use in hazardous situations ('hazardous use'); (2) failure to fulfill major role obligations ('neglect roles'); (3) legal problems related to drinking ('legal problems'); and (4) social or interpersonal problems ('social/interpersonal problems'). Dependence criteria included: (1) tolerance ('tolerance'); (2) withdrawal or withdrawal relief avoidance ('withdrawal'); (3) drinking larger amounts or for longer periods than intended ('larger/longer'); (4) persistent desire or unsuccessful efforts to cut down ('quit/control'); (5) time spent in activities to obtain alcohol or to recover from its effects ('time spent'); (6) giving up or reducing important activities in favor of drinking ('activities given up'); and (7) continued drinking despite knowledge of a physical or psychological problem caused or exacerbated by drinking ('phys/psych problems').

Craving

The following item was used to address craving: 'In your entire life, did you ever want a drink so badly that you couldn't think of anything else?' Respondents who responded positively were then asked for more information regarding the time-frame(s) in which the experience occurred. We considered the individual positive for the item if they responded that the experience occurred in the past 12 months.

All measures described above were also assessed in the prior to past-year time-frame (i.e. whether each criterion was experienced in the time-frame prior to the past year). Included as an online supplement, we repeated IRT analysis of the craving criterion assessed on a lifetime time-frame (past year or prior to the past year) to demonstrate similarities in structure.

Statistical analysis

All analyses incorporate sampling weights to adjust for oversampling of some demographic groups, and

all standard errors are adjusted to correct for dependencies in the data caused by the complex survey design (that is, unequal probability of selection into the sample through clustering by geographically defined stratum and primary sampling unit).

Criterion prevalence, demographic and clinical covariates

Prevalences of abuse and dependence criteria as well as craving among current drinkers were estimated using SUDAAN software (Research Triangle Institute, 2004). In addition, within the proportion of the sample diagnosed with DSM-IV alcohol dependence, we examined the clinical correlates of endorsing craving. Odds ratios (OR) were estimated using logistic regression. Finally, we constructed two diagnoses, one that corresponds to current DSM-IV criteria, and one that includes craving but leaves the threshold for diagnosis at \geq three criteria. Prevalence and clinical covariates of these two diagnoses were estimated to determine the concurrent validity of a diagnosis that includes craving.

Exploratory factor analysis (EFA)

We began psychometric analysis by estimating one- and two-factor models for existing DSM-IV criteria with and without craving. We did this to determine unidimensionality of the criteria (i.e. representing a single underlying factor), which is required to accurately estimate the parameters of the most widely used IRT models. EFA of tetrachoric correlations using quartimin rotation (Jennrich & Sampson, 1966) was used, maximizing the sum of row variance of the structure matrix. This rotation was chosen over other possible rotations (e.g. Promax) because it is less likely to produce multiple spurious factors (favoring parsimonious factor structures) while still allowing for correlated factors (Lubke & Muthén, 2007). All latent variable analyses were conducted using MPLUS version 5.21 (Muthén & Muthén, 2009), which adjusted standard errors for the complex sampling design and uses a weighted least squares mean and variance adjusted estimator.

Four fit measures were used to determine the best fitting model: comparative fit index (CFI), Tucker-Lewis index (TLI), root mean square error of approximation (RMSEA), and examination of the eigenvalues of factors. The generally accepted interpretation of CFI and TLI is that values over 0.95 indicate good fit, while RMSEA less than 0.05 indicates good model fit (Kline, 1998). We stopped evaluating factor solutions once eigenvalues were < 1.0 , as factors with eigenvalues less than 1.0 do not explain a sufficient amount of variance in the criteria to be retained (Kaiser-Guttman rule).

IRT analysis

Once unidimensionality of criteria is established through EFA, we can fit IRT models to estimate parameters (severity and discrimination) for the craving criterion in the context of existing abuse/dependence criteria, and evaluate the total information provided by the set of criteria in reference to the alcohol-use disorder continuum. We fit a two-parameter logistic IRT model (Birnbaum, 1968) using a maximum likelihood estimator once unidimensionality of the underlying factor structure was established. In MPLUS, two-parameter IRT models are fit as confirmatory factor analysis (CFA) models, where the CFA model parameters are rescaled to an IRT metric (Bock *et al.* 1988).

Our IRT analysis began by hypothesizing a continuous latent variable representing the alcohol-use disorder continuum, referred to as θ , which is normally distributed with mean 0 and standard deviation 1. The two-parameter logistic IRT provides estimates of the discrimination (parameter a) and severity (parameter b) of each item indexing this continuum. Discrimination is defined as the ability of the criterion to distinguish between those who are higher on the alcohol-use disorder continuum *versus* those who are lower. Severity is defined as the point along the continuum when there is a 50% chance of the item being present. Note that severity is directly related to the prevalence of the criterion in the data; in most cases criteria that are rare are more clinically severe, but higher severity in the IRT model does not necessarily indicate higher clinical severity. The formula for calculating the probability of item endorsement in MPLUS (Muthén & Asparouhov, 2002) is:

$$P(u = 1/\theta) = 1/1 + e^{-Da(\theta - b)},$$

where u is the evaluated criterion and D is a constant approximately equal to $[\sqrt{(\pi^2/3)} = 1.7]$.

Goodness of model fit was assessed using four criteria: Bayesian Information Criteria (BIC); sample-size adjusted BIC; Akaike's Information Criteria (AIC); and $-2 \log$ likelihood. The BIC is a fit statistic that extends the traditional maximum-likelihood-based model fit statistics in several ways including penalization for complexity of the model (i.e. number of parameters) (Etzioni & Kadane, 1995). The AIC is closely related but imposes a relatively lighter penalty for model complexity, when compared with BIC (Akaike, 1978). The $-2 \log$ likelihood is a standard maximum likelihood statistic for evaluating model fit. Note that absolute goodness-of-fit measures used in EFA analyses described earlier (e.g. CFI, TLI) are not available for maximum likelihood estimated IRT models fit to response patterns; thus relative measures BIC, sample size-adjusted BIC, and AIC are estimated. Model fits

were examined by comparing fit indices with all existing DSM-IV criteria plus craving to a model in which the craving parameter was constrained to be zero.

The aggregate information function (AIF) graph was generated to visually represent the total amount of information provided by the model of all criteria. The height of the information function reflects the collective discriminatory ability of the model and represents the extent of the information provided by the set of criteria under assessment. Thus, the higher the peak of the information curve, the greater the ability of the criteria to distinguish between those with and without alcohol problems. The placement of the curve along the latent continuum reflects the severity of the overall test.

Finally, measurement non-invariance of the craving criterion was tested across sex, race/ethnicity, and age. Race/ethnicity was operationalized as a five-level nominal variable (non-Hispanic White, non-Hispanic Black, Native American/Alaska Native, Asian/Pacific Islander, and Hispanic), and age was operationalized as a four-level ordinal variable (18–29, 30–44, 45–64, ≥ 65 years). Mplus uses CFA with covariates [multiple indicators multiple causes (MIMIC) model] to test item measurement non-invariance due to differential item functioning. We tested the direct effect of the covariate on the craving item that is unmediated through the underlying latent trait. An α of 0.05 was selected *a priori*. A significant direct effect means that for the same severity level, members of different covariate groups have different probabilities of endorsing the item; this is indicative of measurement non-invariance, as group membership should not be a determinant of criterion endorsement probability at equal levels of severity if the criterion is completely unbiased. The final MIMIC model included all significant indirect (latent trait regressed on covariate) and direct (item regressed on covariate) effects. A similar approach has been taken previously in IRT analyses of alcohol criteria (Harford *et al.* 2009). Because measurement non-invariance analyses of DSM-IV alcohol abuse/dependence criteria have been previously pursued (e.g. previous analyses in data with similar design and population as these indicating non-invariance for 'quit/control', 'withdrawal', 'neglect roles' and 'larger/longer' by sex and almost all criteria by age; Saha *et al.* 2006, 2007), we focused our measurement non-invariance analysis on the craving criterion only.

Results*EFA*

Table 1 shows one- and two-factor solutions for four EFAs. A three-factor solution was not estimated, as the

Table 1. Among current drinkers ($n=18\,352$), prevalence of DSM-IV abuse and dependence criteria as well as the craving criterion, and EFA of four models of DSM-IV abuse and dependence criteria as well as the craving criterion

	Prevalence (%)	Model 1: existing DSM-IV criteria			Model 2: addition of craving		
		One factor	Two factors		One factor	Two factors	
Abuse criteria							
Neglect roles	5.85	0.85 (0.01)	0.65 (0.04) ^a	-0.24 (0.04)	0.81 (0.01)	0.60 (0.04) ^a	-0.30 (0.04)
Hazardous use	14.59	0.80 (0.01)	0.04 (0.01)	-0.94 (0.04) ^a	0.75 (0.01)	0.04 (0.02)	-0.92 (0.03) ^a
Legal problems	1.67	0.64 (0.02)	0.16 (0.08)	-0.55 (0.08) ^a	0.83 (0.02)	0.14 (0.08)	-0.58 (0.07) ^a
Social/interpersonal problems	7.47	0.82 (0.01)	0.62 (0.04) ^a	-0.24 (0.04)	0.83 (0.01)	0.58 (0.04) ^a	-0.29 (0.04)
Dependence criteria							
Tolerance	15.69	0.75 (0.01)	0.73 (0.03) ^a	-0.04 (0.03)	0.71 (0.01)	0.70 (0.03) ^a	-0.08 (0.04)
Withdrawal	12.60	0.83 (0.01)	0.70 (0.03) ^a	-0.17 (0.04)	0.86 (0.01)	0.67 (0.03) ^a	-0.21 (0.04)
Larger/longer	25.48	0.84 (0.01)	0.65 (0.04) ^a	-0.23 (0.04)	0.91 (0.01)	0.59 (0.04) ^a	-0.30 (0.05)
Quit/control	17.52	0.71 (0.01)	0.84 (0.03) ^a	0.13 (0.03)	0.82 (0.01)	0.75 (0.03) ^a	0.03 (0.04)
Time spent	3.31	0.85 (0.01)	0.91 (0.03) ^a	0.06 (0.03)	0.85 (0.01)	0.91 (0.03) ^a	0.05 (0.03)
Activities given up	1.61	0.91 (0.01)	0.92 (0.03) ^a	0.01 (0.04)	0.80 (0.01)	0.86 (0.04) ^a	-0.07 (0.04)
Phys/psych problems	5.22	0.82	0.89 (0.02) ^a	0.07 (0.03)	0.64 (0.01)	0.87 (0.03) ^a	0.04 (0.03)
Craving	1.26	-	-		0.87 (0.01)	0.99 (0.03) ^a	0.11 (0.04)
Eigenvalue		7.371	0.744		8.099	0.773	
CFI		0.995	0.997		0.994	0.997	
TLI		0.993	0.996		0.993	0.996	
RMSEA		0.023	0.018		0.022	0.017	
Factor correlation		-	0.746		-	0.747	

DSM-IV, Diagnostic and Statistical Manual of Mental Disorders, 4th edn; EFA, exploratory factor analysis; Neglect roles, failure to fulfill major role obligations; Hazardous use, use in hazardous situations; Legal problems, legal problems related to drinking; Withdrawal, withdrawal or withdrawal relief avoidance; Larger/longer, drinking larger amounts or for longer periods than intended; Quit/control, persistent desire or unsuccessful efforts to cut down; Time spent, time spent in activities to obtain alcohol or to recover from its effects; Activities given up, giving up or reducing important activities in favor of drinking; Phys/psych problems, continued drinking despite knowledge of a physical or psychological problem caused or exacerbated by drinking; CFI, comparative fit index; TLI, Tucker-Lewis index; RMSEA, root mean square error of approximation.

Values are given as loading (standard error).

^a Factor loadings greater than an absolute value of 0.4.

eigenvalue for the second factor in a two-factor solution was less than 1. Comparing the one- and two-factor solutions, a one-factor solution is the most parsimonious model for existing DSM-IV criteria, based on excellent fit statistics (CFI=0.995, TLI=0.993, RMSEA=0.023) and only marginal increases in fit moving from a one-factor to a two-factor solution. The eigenvalue for the second factor in the two-factor model was less than 1.0, indicating that the second factor does not explain sufficient additional variance in the criteria to be considered a useful addition to the model. The addition of a craving criterion does not materially change the factor structure of the diagnosis. Craving loads on the single factor in the one-factor model (0.870), and one factor remains the most parsimonious representation of the data structure with excellent model fit (CFI=0.994, TLI=0.993, RMSEA=0.022).

IRT analysis

Discrimination and severity estimates

Two models were tested: both have twelve variables in the model (the eleven existing criteria and the craving criterion). In model 1, the craving criterion was constrained to have no relationship with the latent trait. In model 2, the craving criterion was unconstrained. Results are shown in Table 2.

In the two-parameter model including the eleven existing DSM-IV criteria (model 1), the criteria indicating the highest severity include 'legal problems' (3.16, S.E.=0.1), 'activities given up' (2.39, S.E.=0.1) and 'time spent' (2.20, S.E.=0.0) while the criteria with the lowest severity were 'larger/longer' (0.82, S.E.=0.0), 'quit/control' (1.36, S.E.=0.0) and 'hazardous use' (1.42, S.E.=0.0). 'Activities given up' ranked the highest in discriminatory ability, indicating that it was

Table 2. Criterion response model parameters for existing DSM-IV abuse and dependence criteria and the addition of craving among current drinkers in the general population ($n = 18\,352$)

	Model 1: existing DSM-IV criteria		Model 2: addition of craving	
	Discrimination (s.e.)	Severity (s.e.)	Discrimination (s.e.)	Severity (s.e.)
Abuse criteria				
Neglect roles	1.75 (0.06)	1.92 (0.03)	1.75 (0.06)	1.92 (0.03)
Hazardous use	1.31 (0.03)	1.42 (0.02)	1.30 (0.03)	1.43 (0.02)
Legal problems	1.05 (0.05)	3.16 (0.09)	1.05 (0.05)	3.16 (0.09)
Social/interpersonal problems	1.55 (0.05)	1.83 (0.03)	1.54 (0.05)	1.83 (0.03)
Dependence criteria				
Tolerance	0.59 (0.00)	2.08 (0.02)	0.59 (0.00)	2.08 (0.02)
Withdrawal	1.63 (0.04)	1.41 (0.02)	1.63 (0.04)	1.41 (0.02)
Larger/longer	1.68 (0.05)	0.82 (0.01)	1.67 (0.04)	0.82 (0.01)
Quit/control	1.03 (0.03)	1.36 (0.02)	1.02 (0.03)	1.36 (0.02)
Time spent	1.82 (0.07)	2.20 (0.03)	1.87 (0.07)	2.18 (0.03)
Activities given up	2.54 (0.15)	2.39 (0.04)	2.52 (0.15)	2.39 (0.04)
Phys/psych problems	1.51 (0.05)	2.02 (0.03)	1.54 (0.05)	2.01 (0.03)
Craving	–	–	1.98 (0.11)	2.59 (0.05)
BIC	52571.086		50976.329	
Sample size-adjusted BIC	52727.821		50903.238	
AIC	52657.909		50812.469	
–2 Log likelihood	–46854.376		–46272.643	

DSM-IV, Diagnostic and Statistical Manual of Mental Disorders, 4th edn; s.e., standard error; Neglect roles, failure to fulfill major role obligations; Hazardous use, use in hazardous situations; Legal problems, legal problems related to drinking; Withdrawal, withdrawal or withdrawal relief avoidance; Larger/longer, drinking larger amounts or for longer periods than intended; Quit/control, persistent desire or unsuccessful efforts to cut down; Time spent, time spent in activities to obtain alcohol or to recover from its effects; Activities given up, giving up or reducing important activities in favor of drinking; Phys/psych problems, continued drinking despite knowledge of a physical or psychological problem caused or exacerbated by drinking; BIC, Bayesian Information Criteria; AIC, Akaike's Information Criteria.

comparatively the best in discriminating the individuals along the underlying alcohol-use disorder continuum. 'Tolerance' and 'quit/control' exhibited the lowest level of discrimination.

Table 2 also includes the discrimination and severity parameter estimates for a model with the addition of the craving criterion (model 2). Neither the magnitude of parameter estimates nor the rank order of DSM-IV criteria in terms of discrimination and severity substantially changed when craving was added to the model. Craving fell along a mid- to high-end of severity and discrimination [severity: 2.59 (s.e. = 0.0); discrimination: 1.98 (s.e. = 0.1)]. The BIC, sample size-adjusted BIC, and AIC indicated that a model including craving had substantially better fit to the data, compared with the model including DSM-IV criteria only (see Table 4).

AIF

Fig. 1 plots the AIF for the eleven existing DSM-IV criteria as well as the AIF for the existing DSM-IV

criteria with craving included. The model with craving creates a more discriminating overall set of criteria (reflected in the increased peak of the curve) but reflects little change in the ability of the model to capture a greater or different range of severity of the underlying continuum of disorder.

Measurement non-invariance

We tested whether craving evidences measurement non-invariance by sex, age and race/ethnicity. Controlling for the indirect effect of race/ethnicity on craving that is mediated through the latent alcohol-use disorder continuum, Blacks are less likely to endorse craving compared with Whites ($Z = -1.35$, s.e. = 0.52, $p = 0.009$); no indirect effects were significant comparing other racial/ethnic groups with Whites. Compared with those aged ≥ 65 years, individuals aged 45–64 years are more likely to endorse craving ($Z = 0.91$, s.e. = 0.39, $p = 0.02$); no indirect effects were significant comparing other age groups with those aged ≥ 65

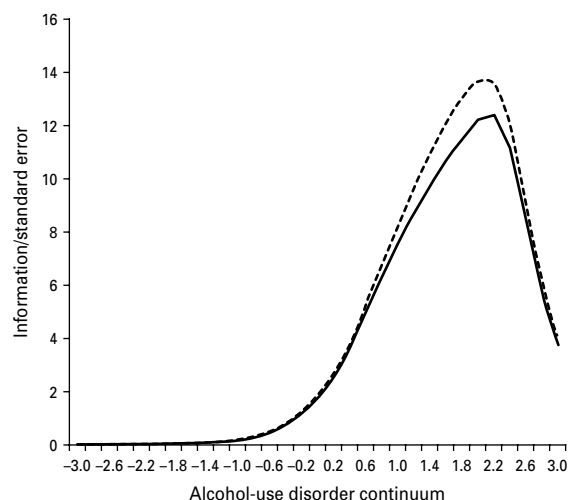


Fig. 1. Aggregate information curves for the existing Diagnostic and Statistical Manual of Mental Disorders, fourth edition (DSM-IV) criteria (—) and the addition of craving (- - -) among current drinkers in the general population ($n=18\,352$).

years. There were no significant indirect effects of sex ($p=0.23$).

Supplementary analysis: lifetime estimates

As supplementary analyses, we conducted exploratory factor and IRT analysis on lifetime criteria among lifetime drinkers ($n=27\,616$) (see Supplementary Analysis). Similarly to past 12-month estimates, craving exhibited low prevalence compared with existing DSM-IV criteria (3.5%, $s.e.=0.1$). Craving was unidimensional with existing criteria, with a one-factor model fitting the seven DSM-IV dependence, four DSM-IV abuse, and craving criteria ($CFI=0.995$, $TLI=0.994$, $RMSEA=0.031$, standardized root mean square residual=0.041). IRT analysis indicated relatively high severity (craving was the second most severe item after 'neglect roles') and discrimination (craving was the third most discriminating item of the twelve). Model fit estimates indicated improved fit with the addition of craving. While the rank order of discrimination and severity estimates are slightly different comparing lifetime with past 12-month criteria, 'neglect roles', 'legal problems' and 'activities given up' remained the highest severity criteria, while 'larger/longer', 'hazardous use' and 'quit/control' remained the low severity criteria.

Concurrent validity: clinical correlates

Table 3 presents the concurrent validity analysis, assessing clinical correlates of craving among those with DSM-IV alcohol dependence. Controlling for age, sex,

race/ethnicity, education, urbanicity, region and number of alcohol dependence symptoms, individuals with craving had a younger age of alcohol dependence onset [OR=0.96, 95% confidence interval (CI) 0.92–0.99], were more likely to have had alcohol dependence prior to the past year (OR=2.10, 95% CI 1.17–3.77) and were more likely to have a current depression diagnosis (OR=2.29, 95% CI 1.37–3.84).

In Table 4 we present the concurrent validity analysis assessing the association between clinical covariates and two alcohol dependence diagnoses: first, the existing DSM-IV diagnosis, and second, a diagnosis with craving as an indicator. The threshold for diagnosis was kept at \geq three criteria. No differences were found.

Based on the present DSM-IV definition, the prevalence of alcohol dependence among current drinkers was 11.4%. When craving was added as an eighth criterion and the threshold kept at three or more criteria for diagnosis, the prevalence of alcohol dependence increased very slightly (to 11.5%), with 20 additional cases identified.

Discussion

Taken together, these analyses indicate advantages as well as disadvantages related to including craving as an additional criterion in the DSM. Advantages of craving are four-fold. First, craving forms part of a unidimensional construct with the other DSM-IV criteria, indicating that craving taps into the same underlying latent construct (conceptualized here as an alcohol-use disorder continuum) as existing criteria. Second, the addition of craving improves the overall fit of the criteria to a general population sample, indicating that a diagnostic set with craving better captures the full range of alcohol problems in the general population compared with a diagnosis without craving. Third, the addition of craving increases the total discriminatory ability of the set of criteria as a whole. This indicates that craving is highly related to the alcohol-use disorder continuum, and that a diagnosis with craving can better distinguish individuals with and without alcohol problems. Finally, craving is associated with several risk factors among individuals with DSM-IV-diagnosed alcohol dependence, including younger age of dependence onset, prior to past year alcohol dependence (suggesting a chronic course), and major depressive disorder. This indicates concurrent validity; craving is correlated with alcohol-associated risk factors with which we would expect an indicator of alcohol problems to be correlated. Note that these analyses are not intended to reveal anything about the etiology of craving *per se*; we would expect that any construct that captures the

Table 3. Clinical characteristics of DSM-IV alcohol-dependent individuals ($n = 2002$) with and without craving experiences

	With craving ($n = 203$), % (s.e.)	Without craving ($n = 1799$), % (s.e.)	OR1 (95% CI) ^a	OR2 (95% CI) ^b
Age of alcohol use onset, years			0.93 (0.87–0.99)*	0.96 (0.90–1.01)
Mean	16.14	17.14		
s.d.	0.40	0.09		
Age of alcohol dependence onset, years			0.96 (0.93–0.99)*	0.96 (0.92–0.99)*
Mean	21.13	22.44		
s.d.	0.52	0.29		
Family history of alcohol problems	55.4 (4.12)	41.5 (1.44)	1.30 (0.87–1.95)	1.03 (0.67–1.59)
Used treatment services for alcohol problems in the past year	31.2 (3.79)	10.2 (0.90)	3.25 (2.09–5.04)*	1.01 (0.59–1.73)
Alcohol dependence prior to the past year	76.9 (3.46)	23.1 (3.52)	4.07 (2.42–6.84)*	2.10 (1.17–3.77)*
Meets criteria for alcohol abuse	86.8 (2.89)	56.2 (1.40)	5.63 (3.00–10.56)*	1.83 (0.95–3.50)
Current depression diagnosis	41.0 (4.07)	16.9 (1.06)	3.93 (2.51–6.15)*	2.29 (1.37–3.84)*
Current drug abuse/dependence diagnosis	26.0 (3.51)	13.8 (0.97)	2.00 (1.33–3.01)*	0.94 (0.53–1.68)

DSM-IV, Diagnostic and Statistical Manual of Mental Disorders, 4th edn; s.e., standard error; OR, odds ratio; CI, confidence interval; s.d., standard deviation.

^a Controlling for age, sex, race/ethnicity, education, urbanicity, region.

^b Controlling for age, sex, race/ethnicity, education, urbanicity, region, and number of alcohol dependence symptoms.

* Statistically significant ($p < 0.05$).

Table 4. Concurrent validity of two diagnostic formulations (diagnosis 1: current DSM-IV alcohol dependence; diagnosis 2: current DSM-IV criteria plus craving, threshold remains at three or more criteria)

	Diagnosis 1 present, % (s.e.)	Diagnosis 1 absent, % (s.e.)	p value for difference ^a	Diagnosis 2 present, % (s.e.)	Diagnosis 2 absent, % (s.e.)	p value for difference ^a
n	2002	16 350		2022	16 330	
Age of alcohol use onset, years			<0.001			<0.001
Mean	17.03	19.13		17.03	19.13	
s.d.	0.09	0.05		0.09	0.05	
Family history of alcohol problems	42.89 (1.38)	25.79 (0.42)	<0.001	43.12 (1.37)	25.73 (0.41)	<0.001
Used treatment services for alcohol problems in the past year	12.40 (0.92)	0.84 (0.09)	<0.001	12.38 (0.91)	0.82 (0.09)	<0.001
Alcohol dependence prior to the past year	51.29 (1.26)	11.98 (0.31)	<0.001	51.05 (1.26)	11.96 (0.32)	<0.001
Meets criteria for alcohol abuse	59.33 (1.30)	6.99 (0.26)	<0.001	59.20 (1.29)	6.94 (0.26)	<0.001
Current depression diagnosis	19.374 (1.07)	6.31 (0.21)	<0.001	19.35 (1.06)	6.29 (0.21)	<0.001
Current drug abuse/dependence diagnosis	15.09 (0.97)	1.52 (0.13)	<0.001	14.95 (0.96)	1.52 (0.13)	<0.001

DSM-IV, Diagnostic and Statistical Manual of Mental Disorders, 4th edn; s.e., standard error; s.d., standard deviation.

^a Derived for linear regression (age of onset as outcome) or logistic regression (all other outcomes) controlling for age, sex, race/ethnicity, education, employment, urbanicity and region.

alcohol-use disorder continuum would be related to these risk factors among those with DSM-IV alcohol dependence.

These considerations need to be weighed against the disadvantages of adding a new criterion that is not already included in most datasets. Points of evidence against craving are three-fold. First, the indicator of

craving used in these analyses exhibited some measurement non-invariance, with Blacks less likely than Whites to endorse craving at the same level of alcohol disorder severity, and individuals aged 45–64 years more likely than individuals aged ≥ 65 years to endorse craving. While measurement non-invariance has been demonstrated for many of the existing

criteria (Langenbucher *et al.* 2004; Martin *et al.* 2006; Gelhorn *et al.* 2008; Harford *et al.* 2009; Wu *et al.* 2009), including non-invariance of most existing DSM-IV criteria by age in data similar in design and analyses to the NLAES sample (Saha *et al.* 2006, 2007), the inclusion of a new criterion that is measurement non-invariant should be viewed with caution. Second, existing IRT analyses of DSM-IV criteria have indicated the need for new criteria that capture the less severe end of the diagnostic spectrum (Martin *et al.* 2006, 2008; Saha *et al.* 2006, 2007; Li *et al.* 2007*a,b*); craving does not serve this purpose, as it is on the high end of the severity spectrum. Finally, datasets that were expensive to assemble (e.g. epidemiologic, genetic or multi-site clinical trials) and have not assessed craving would be unable to generate strict DSM diagnoses if craving is included. It should be noted, however, that because of the high cohesion of alcohol abuse/dependence criteria with craving, the latent variable is likely still well represented whether or not craving is included in a particular dataset. The use of a dimensional, psychometric approach to the assessment and diagnosis of alcohol problems (e.g. equating; Embretson & Reise, 2000) may be beneficial for research and clinical practice if craving is included in the DSM-V.

The rarity of craving (1.3%) and the lack of additional cases identified can be seen as both a benefit and a drawback. If the addition of craving resulted in substantially increased prevalence and/or changed correlates of alcohol dependence (as is the case with a quantity/frequency indicator; Keyes *et al.* 2009), we might consider whether diagnostic sensitivity and specificity would be adversely affected by its inclusion. On the contrary, craving does not modify the descriptive epidemiology of alcohol dependence, indicating that a similar number of cases would be identified with a diagnosis that in its totality provides a more discriminating test, better differentiating individuals along the latent trait of alcohol disorders. Further, given associations with early onset, chronicity, and major depression, the presence of craving may indicate a more severe phenotype compared with alcohol-dependent individuals without craving. Given the associations of alcohol craving with a genetic variant (Foroud *et al.* 2007), the inclusion of craving may be useful for progress in genetic epidemiology. More analyses with diverse, genetically informative data would be useful to fully understand the phenotypic implications of alcohol craving. Further, research clarifying the role of depression and other psychopathology in the experience of craving would be useful. On the other hand, the lack of additional cases suggests that craving is largely redundant with existing criteria. Clinical case finding would not improve

given the addition of a craving criterion, raising doubts about the added benefit of the criterion in the context of the existing symptoms. Further analyses and replications in diverse samples of clinical, adolescent and genetically informative individuals would aid in the elucidation of craving utility in a variety of contexts.

Most previous investigations of abuse and dependence criteria using IRT focused on psychometric properties of existing criteria without evaluating new criteria, and our IRT analyses of existing abuse/dependence criteria are generally in line with this previous research (Krueger *et al.* 2004; Langenbucher *et al.* 2004; Kahler & Strong, 2006; Martin *et al.* 2006; Proudfoot *et al.* 2006; Saha *et al.* 2006; Gelhorn *et al.* 2008; Harford *et al.* 2009; Wu *et al.* 2009); one exception is a recent study of middle-aged men in the general population that examined the structure of the alcohol-use disorder continuum using 110 alcohol items, including an item capturing craving (Krueger *et al.* 2004). Similarly to the present study, craving exhibited relatively high severity and discrimination. Additionally, recent analyses suggest a quantity/frequency indicator might be a useful criterion to capture the less severe end of the alcohol disorders continuum (Saha *et al.* 2007), leading some to call for its inclusion in the DSM-V (Li *et al.* 2007*b*; Martin *et al.* 2008). However, including weekly at-risk drinking may have a large impact on the prevalence of alcohol dependence in the general population (Keyes *et al.* 2009). The present study provided evidence for a criterion with opposite characteristics; more severe cases of alcohol disorder would be captured, and the prevalence of the diagnosis would not be largely affected.

Limitations of this study are noted. First, the NLAES questionnaire included only a single question tapping into the relevant construct of craving. Data sources with more indicators of craving would be preferable. However, given the limited national data sources with measures of craving included in the questionnaire, the NLAES offers a unique dataset in which to examine this issue. Second, the NLAES survey was conducted in 1991–1992. Analyses comparing the alcohol diagnoses in the NLAES and its successor, the NESARC, have identified changes in prevalence of disorder and specific symptom items (Grant *et al.* 2004; Chou *et al.* 2005). In order to understand the comparability of the NLAES and NESARC, we conducted an initial IRT analysis on the base model of DSM-IV abuse and dependence criteria. Despite small variations in the magnitude of the estimates, the conclusions drawn were the same (results not shown). Additionally, responses are based on self-report and are subject to information bias. We limited the present analysis to past 12-month criteria to mitigate recall

bias. As an online supplement to this report, we also analysed lifetime endorsement of alcohol disorder criteria. Results indicated that, similarly to the analysis of past 12-month criteria, craving is relatively rare compared with other criteria, is unidimensional with existing DSM-IV criteria, exhibits high severity and discrimination, and improves the fit of the alcohol disorder criteria to the data.

The large sample size and well-tested measurement instrument (AUDADIS) used in the NLAES serve as strengths of this study. Given the pros and cons shown for adding craving to DSM-V based on epidemiologic data, a clear conclusion cannot be drawn on the advisability of this addition. However, the results presented above constitute part of the information under consideration by the DSM-V substance disorders workgroup, and provision of this information to the larger scientific community enhances the transparency of the DSM-V process. Future research using latent variable modeling techniques should focus on generating additional items that could improve both the reliability and validity of the current alcohol diagnoses, and discussions should continue regarding the utility of modifying DSM diagnoses to more closely correspond to ICD.

Note

Supplementary material accompanies this paper on the Journal's website (<http://journals.cambridge.org/psm>).

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Declaration of Interest

None.

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